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1. PURPOSE

This document provides an overview of the D&I activities and measures implemented in 2021 to improve diversity and inclusion in the institute.

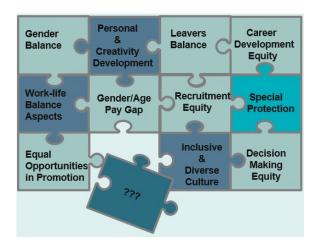
While we are only at an early stage of development and implementation of the LIST D&I strategy, we have already included the new values of diversity, equity and inclusion into LIST common philosophy.

Our D&I Strategy is built on three focus areas, which helps to concentrate on what we see as the key priorities for the institute and as identified in LIST strategic Action plan 2022-2025 under objectives 2, 3 and 5.

2. SCOPE

The scope of this document is to provide a comprehensive and transparent account of the organization's diversity and inclusion journey during the year 2021. It serves as a valuable resource for stakeholders, both internal and external, to understand the organization's commitment to D&I and its progress in creating an inclusive workplace culture.

3. HIGHLIGHTS 2021





Diversity and inclusion strategy delivered

Diversity and Inclusion is one of LIST's priorities for 2021 and 2022. To give itself the means to achieve its ambitions, LIST has decided to set a clear framework by elaborating a Diversity Charter. Three areas of intervention have been defined: equal opportunities, well-being and protection. An initial plan for the measures to be developed and implemented over the next 18 months was agreed and serves as a guide and benchmark for the successful implementation of the project.

Supported the importance of LGBTIQ+ inclusivity by sponsoring Luxembourg PRIDE Week 2021

LIST decided to publicly commit to Luxembourg Pride Week by becoming one of the official sponsors of the PRIDE week 2021





Launch of participation to the "Actions positives" program of MEGA

LIST makes a strong commitment to equality and equity in career opportunities for LIST employees. Through the "Actions positives" program, LIST will step up initiatives to promote equality between men and women in the workplace, particularly in recruitment and decision-making. The program runs for a period of two years. The goals are the review, adaptation and implementation of gender equality measures and their certification up to 2023.



Signature of the Diversity Charter Lëtzebuerg

On 30/09/2021, LIST has signed the Diversity charter Lëtzebuerg committing to diversity and inclusion as defined in the national charter.



Revised concept for the search of new employees

New employee search and selection strategies and approaches were reviewed and adjusted to address and recruit a more diverse group of candidates. The focus here was on revising the job advertisements and the way in which suitable candidates are searched for.



Structural barriers in LIST buildings identified and their removal addressed

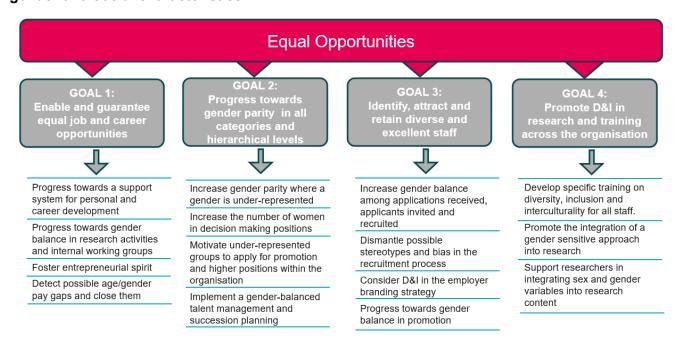
Working conditions and the accessibility of LIST buildings were examined to determine where accessibility is limited or non-existent. The focus of the analysis in 2021 was on grievances that can be remedied quickly and with simple measures. A catalogue of measures was developed, which will now be worked through in stages.



4. FOCUS AREAS

4.1 Focus Area 1: Equal opportunities

"Organization and processes are structured in a way that they enable and guarantee equal job and career opportunities for all LIST employees, regardless of their biological, cultural, gender and social characteristics".



4.1.1 "Actions Positives" - Program on gender equity of the Ministry for equality between women and men (MEGA)

Goal 1: Enable and guarantee equal job and career opportunities

Goal 2: Progress towards gender parity in all categories and hierarchical levels

Goal 3: Identify, attract and retain diverse and excellent staff

In September 2021, Equality Minister Taina Bofferding and LIST have signed a collaboration agreement for the ministry's "Actions positives" program. The signing marks the launch of the program that will lead to the implementation of a LIST action plan for equality in 2022-2023. Through the Ministry's "Actions positives" program, LIST will adopt a strategy to promote equality between women and men, particularly in recruitment and decision-making.

The project will be based on an internal survey and an evaluation of LIST data related to gender to understand the current professional situation of women and men. Then, the Ministry of Equality will accompany the process to establish actions that promote gender equality. The "Actions positives" programme runs for a period of two year and will be crowned by a certification for the steps taken to reward concrete actions and methods implemented.

Unfortunately, due to organisational difficulties, there were delays in signing the agreement and thus also in the project start, so that the project could not start as planned in 2021. The data collection was completed in December 2021. The staff survey did not take place until early February 2022. In the run-up to the survey, the project and its individual components were presented to the LIST community in a webinar in cooperation with the ministry and the external consultant. A total of 315 employees (49.5%) participated in the survey, which ran for over three weeks.

4.1.2 National gender Working Group (GWG)

LIST has also taken part in the *National gender working group (GWG)*. It is the national task force for gender equity in research. The group was first initiated in 2019 by the Luxembourg Ministry for Research, coordinated by the Luxembourg National Research Fund (FNR) and joined by the main national public research institutions, Luxembourg Institute of Health (LIH), Luxembourg Institute for Socio-Economic Research (LISER), Luxembourg Institute of Science and Technology (LIST), Max Planck Institute Luxembourg (MPI), and the University of Luxembourg (UL), with currently 14 members, four of them coming from LIST.

The GWG continues to develop, write, and review the contents of the different initiatives that were defined in 2020:

- Gender data monitoring and publishing
- Gender diversity survey
- Best practices for a gender fair recruitment process
- Best practices for gender fair working conditions and the internal promotion process

Throughout 2021, the GWG continued to be a hub of information sharing and consulting on the topic of gender equality, diversity, and inclusion aspects between members. The initiative was extended to 2022.

4.1.3 ERIN Gender Networking group

Over the year, we have worked closely with and supported the ERIN employees network that have run a number of activities to share experiences, share awareness and foster gender equality.

The ERIN (Gender) networking group is a bottom-up initiative from researchers from ERIN department.

The members of this initiative meet regularly to exchange views on various aspects of gender and research and also invite external experts on various topics related to scientific or gender related questions.

In 2021, two female scientists (Stephanie Zimmer, Director of the Institute of Organic Agriculture of Luxembourg (IBLA), and Sabine Dörry researcher at the Luxembourg Institute of Socio-Economic Research (LISER) and the University of Luxembourg) were invited to participate in round table discussion under the motto "Women in research". Furthermore, the Gender equality Officer of the University of Luxembourg, Assoc. Prof. Skerdilajda Zanaj, and Dr. Linda Wampach from the FNR reported on their work and on changes in research funding, respectively.

4.1.4 Recruitment equity

Goal 3: Identify, attract and retain diverse and excellent staff

Job advertisements have been reviewed and modified to be more inclusive and attractive for women: Revision of the fixed text modules describing the company or the departments with the aim of attracting more qualified women to work at LIST. Each job posting is now screened for neutral language using the "**Gender decoder**" system prior to publication.

The D&I Officer has participated as an *observer for unconscious bias and gender diversity* to job interviews. The aim was to determine whether the candidates were interviewed and assessed differently because of their gender or origin and whether the panel members showed different interviews and assessments because of their gender and origin. Furthermore, it was to be investigated whether the female panel members were treated differently in the team than their male colleagues. The background to this monitoring of the job interviews, which will continue in 2022, is to determine whether and which biases and practices exist that can lead to discrimination on the basis of gender or origin. The aim is to raise awareness of these and to develop an adapted training plan for the members of the recruitment panels.



4.1.5 Awareness raining

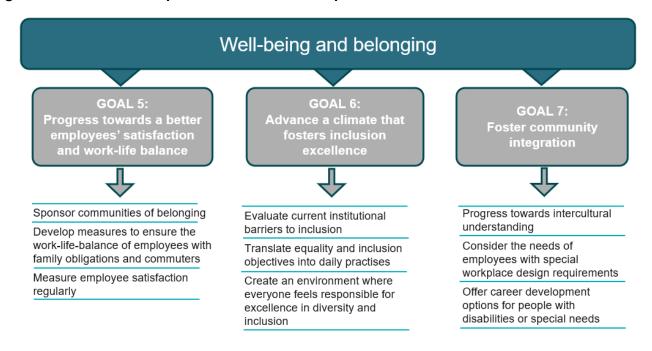
Goal 4: Promote D&I in research and training across the organisation

Development of an online training curriculum on diversity and inclusion for LIST staff

A **D&I training curriculum** for LIST employees has been developed. Due to the pandemic situation, only a few face-to-face courses can be given, so the focus here is on online training. Different trainings were put together for different target groups, such as PIs, managers, members in recruitment panels or new joiners. These training units on "unconscious bias", "intercultural communication" or "working in mixed teams" build on each other and are intended to raise awareness on the various aspects of D&I. The program is based on voluntary participation in 2021 and has been embedded as mandatory in the overall training program from 2022 onwards.

4.2 Focus Area 2: Well-being and belonging

"LIST appreciates the differences. LIST is a flexible workplace that endeavours to ensure a good balance between private and work life responsibilities"



4.2.1 IMS Diversity Charter Lëtzebuerg

Goal 6: Advance a climate that fosters inclusion excellence

On 30/09/2021, LIST has signed the Diversity charter Lëtzebuerg committing to diversity and inclusion as defined in the national charter. The Charter helps signatory organisations to implement practices that promote social justice and cohesion. LIST will participate in the newly established working groups, especially those on age management and LGBTIQ issues.

4.2.2 Awareness-raising initiatives and campaigns

Goal 6: Advance a climate that fosters inclusive excellence

During the year 2021, we have developed various initiatives and campaigns on the occasion of different national or international commemorative days, which contribute to *raising awareness* of diversity, equal opportunities and equity among the LIST community.



These will be continued in 2022, either in the same form or in a modified form (if the previous form has not proven to be effective), and will be accompanied by further measures to incorporate the basic ideas of the D&I concept into the daily working life of the employees.

Here are some of the last year's initiatives:



- International Day of women and girls in Science (11/02/2021): On the occasion of the International Day of Women and Girls in Science, four female colleagues agreed to talk about their experience and their motivation to pursue a career in a very male-dominated world. (Video on LIST Intranet)
- International day of Women (08/03/2021): Participation to #ChooseToChallenge#IWD2021 theme "Women in leadership: Achieving an equal future in a COVID-19 world"



National Diversity day (20/05/2021): Launch of the *LISTeners* campaign. With over 50 nationalities represented internally, coming



LISTeners - Divya BALAKRISHNAN

312 views • 1 month ago

from different backgrounds and cultures, holding a multitude of expertise and personal characteristics, LIST can only be grateful for the richness of differences AND similarities LIST community gets to experience every day. The *LISTeners campaign* highlights the diversity of our research institute, with original face-to-face videos, starting with Dimitra Anastasiou, a Greek scientist from our ITIS, followed by Divya Balakrishnan from MRT and Lari Cujko from ESRIC department. The campaign is to be continued in 2022 in a slightly different form.

Official sponsor of Luxembourg PRIDE week (03/07 to 11/07/2021):
 LIST decided to publicly commit to Luxembourg Pride Week by becoming one of the official sponsors of the PRIDE week 2021. The Luxembourg Pride was created in 1999 and celebrates each year a row in Esch-sur-Alzette. The festival is organised by the non-profit organisation Rosa Lëtzebuerg, which defends the rights of LGBTIQ community in Luxembourg.



- International day of persons with disabilities (03/12/2021): Information about the day and the background to raising awareness for people with disabilities. Launch of the campaign to improve the accessibility of LIST buildings.
- Diversity and inclusion Breakfast (4 sessions in October 2021): 4 online and on-site breakfast meetings offered in English and French. The new format serves to inform about the D&I project and its progress as well as the upcoming planned actions. At the same time, they easy the exchange with the interested participants and to raise awareness on both sides about existing obstacles and problems. The breakfast sessions will also be offered in 2022 in loose succession.
- Inclusive employer branding D&I websites: D&I strategy, goals, outcomes and deliveries are now presented on the internal and external D&I websites. They present LIST commitment on D&I to all LIST staff, partner, clients and the public. All page visitors have access to the related documentation and events' information.

4.2.3 Inclusive and diverse culture

Goal 7: Foster community integration

At LIST, we want to live up our social responsibility and create more **employment opportunities for people with disabilities**. We have started reviewing our existing working conditions and analysed the measures that need to be implemented in order to make the LIST buildings wheelchair-accessible and adjust/equip workplaces in a way that they can be used by people with special needs.

As a first step, we therefore made a walk-through and as-is analysis of the buildings used by LIST together with the infrastructure and security teams to determine where barriers exist. In a second step, we developed a *catalogue of measures* for each building, whose implementation can reduce structural barriers in the short and medium term. In this way, we create the basic prerequisite for creating job opportunities also for people with physical impairments. From April 2022, we will start implementing the first projects, such as the conversion of toilets for people with disabilities or the installation of barrier-free parking spaces. It is planned to work through the present catalogue of measures step by step until end of 2023. Further concrete measures will be implemented according to the actual needs of those affected.

4.3 Focus Area 3: Special protection

"LIST staff receives the necessary and adequate support in the workplace in special personal situations"



4.3.1 Prevention of harassment and discrimination

Goal 8: Ensure accountability for combating harassment and discrimination

A LIST **anti-harassment policy** has been developed by an internal working group led by Julia Aguilar (HR). The policy will provide employees with behaviour orientation, avoid undesirable demeanour, and ensure the responsible, ethically correct and honest behaviour of the LIST community:



- Make clear that LIST does not tolerate any form of workplace violence, sexual or moral harassment.
- Raise awareness on workplace violence and harassment and that all LIST employees have a role to play in creating a harassment-free environment.
- Establish prevention measures and put available mechanisms to deal with harassment cases in a timely manner.
- Provide a framework of support for those employees or affiliates that may have been subject to harassment.

The policy is under review and a first proposal will be presented to the EXCOM for decision in March 2022.

5. LOOKING AHEAD

Over the next year, we will focus on the areas of gender equity, equal opportunities, training and recruitment:

- Continue to focus attention on creating diverse recruitment materials and ensuring balanced recruitment panels to improve gender balance in applications and shortlists for new vacancies.
- Encourage and directly engage with female candidates to convert a higher proportion of initial applicants into joiners and improve the gender balance of our new entries.
- Also implement D&I face-to-face training, (whenever it will be possible) for different target groups, such as project initiators, recruitment panel member, managers, and new joiners.
- Define and implement measures for those areas where we have a lot of catching up to do in terms of equality. These measures will be based on the results of the surveys and data collected as part of the Actions positives program.
- Raise awareness on the diversity of the LIST community: Implementation of an art event together with Luxinnovation and DeWidong as part of the national Diversity Day 2022 and our contribution to Esch2022.
- Implement a campaign to raise awareness towards the LGBTIQ+ community and accompany it with trainings.
- Participate to IMS project on the development of an e-learning training on LGBTIQ+
- Successively implement the measures defined in our catalogue of measures to improve accessibility of LIST buildings.
- Embed more active talent management and succession planning across our organisation to secure quicker progress towards gender balance.
- Set ambitious targets under our D&I strategy to improve gender representation at all levels of the organisation.
- Implement measures to ensure the work-life balance of employees with family obligations.

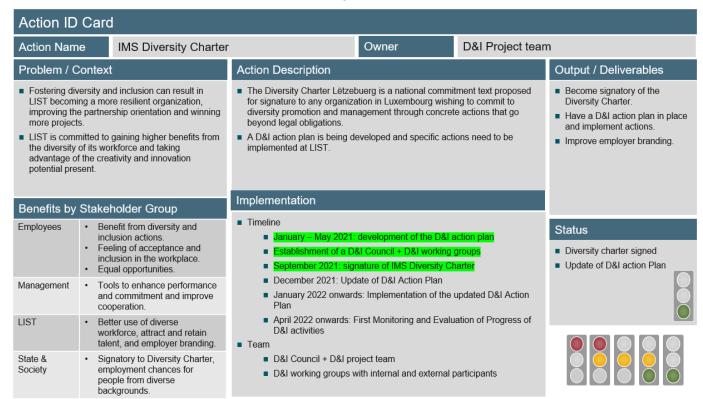


5.1 Action ID Cards - Updates

"Actions Positives" - Development and implementation of a GEP

Action ID	Action ID Card						
Action Name Actions Positives				Owner	D&I project team		
Problem / 0	Contex	ct	Action Description			Output / Deliverables	
Horizon Europe, the EU funding scheme for 2020-2025, requires all public organizations to have a Gender Equality Plan in place by 2022 in order to be eligible for funding. Therefore, LIST needs to prioritize the development of such a plan throughout 2021 and start the implementation of specific actions in order to be compliant. Benefits by Stakeholder Group Employees Benefit from specific actions defined in the Gender Equality			Actions Positives (AP) is a volumbetween Women and Men that implementation and evaluation. The AP programme helps partic. Conduct an in-depth study on t. Establish an action plan for equ. Support talent retention and ha. Put in place indicators and rep. Value sustainable commitment. Make their mark as exemplary.	supports organizations with to of a gender equality program cipating companies to: he well-being of women and uality we a greater mix at all levels orting methods s	he development, me.	 Focus areas and specific actions defined, based on the analysis of a survey completed by LIST staff. D&I Council in place. Gender Equality Plan in place. Certification received. 	
	ocor Be Pro dec	n. perience more equal working additions. able to voice opinions. mote gender balance in cision-making. mote good work-life balance. sure equal pay.	 Mid-October 2021: A 01 December 2021: L 		Vebinar)	Status Contract signed Launch of Survey beginning of February 2022	
Management		eligible to receive Horizon rope funding for projects.	•	22: Revision of Gender Eq : Implementation of updat		Ŏ	
LIST	req • Bed	come compliant with EU uirements in 2021. come an equal opportunity ployer.	■ February 2023: Final ■ Team				
State & Society		T becomes part of the MEGA gramme.	•	from MEGA and LIST, ex rnal projects with internal a			

"IMS Diversity Charter"



"FNR Gender Working Group (GWG)"

Action ID	Action ID Card						
Action Name FNR Gender Working		Group	Owner	FNR Gender Working Group Organizer			
Problem / C	conte	kt .	Action Description			Output / Deliverables	
In order to achieve progress for gender equality in research in Luxemburg (and related diversity and inclusion topics), cooperation between different actors can improve and accelerate progress towards specific objectives. Benefits by Stakeholder Group			 Regular meetings between all the participants take place to develop common definitions and strategies, identify best practices, discuss progress and obstacles, etc. Development of actions, guidelines and impact on FNR funding programme guidelines. 			Common definitions and strategies, best practices that can be used at LIST within the D&I project and the Luxembourgish research community to ensure gender equality in research.	
Employees	de Pl • E: cc • Bi	enefit from specific actions splined in the Gender Equality lan. xperience more equal working onditions. e able to voice opinions. romote gender balance in split in the split is a split of the split in the split is a split in the split in the split in the split is a split in the	Implementation			Status	
	• Pi	ecision-making. romote good work-life alance. romote equal pay.		, regular meetings of the v		Ongoing. Project duration is extended to 2022	
Management	th	eceive tools and definitions at they can use in internal nd external cooperation and am management.	■ Team	duled up to the end of 20 n public research institutio burg), UniLu, FNR		Writing workshop to finish expected outcomes 2021 in January 2022	
LIST		ecome an equal opportunity mployer.					
State & Society	Li	esearch institutes in uxemburg take a coherent proach towards gender (D&I)					

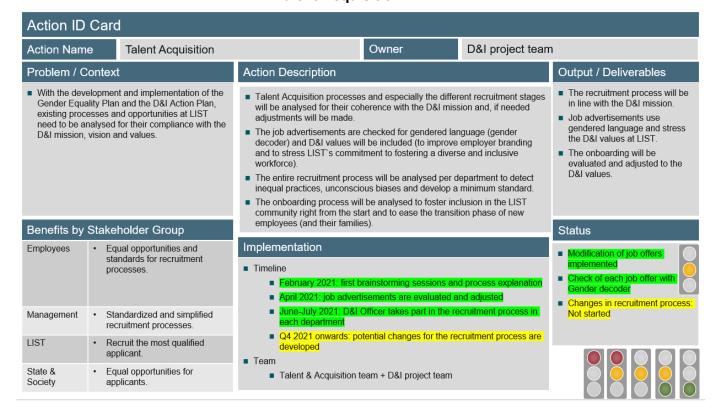
"ERIN Gender Networking Group"

Action ID	Action ID Card					
Action Name ERIN Gender Workin			g Group	Owner	ERIN Gender W	orking Group Organizer
Problem / C	onte	xt	Action Description			Output / Deliverables
Gender Equa successfully	ality Pla comple	tion in the D&I project and the an is an important factor to ste the D&I mission and foster nip of the changes at LIST.	 Regular meetings take place research departments discu are invited like the D&I office The results, concerns and w to the appropriate persons/d Officer, in order to evaluate 	ss gender and D&I issues er, external speakers, etc. ishes of this working grou epartment and to LIST ma	Other participants op are communicated anagement via D&I	 Input from researchers is heard and if possible included in the D&I measures. Communication between HR and directors and scientists is more direct. Community ownership of D&I measures.
Benefits by	Stake	eholder Group	Implementation			Status
Employees	di in aı	esearchers are able to iscuss issues that they deem inportant in an informal setting ind can express themselves to IR and decision-makers.	 Timeline Started February 202 Regular meetings one Team FRIN staff 			Ongoing.
Management	eı in	etter processes and mployees that feel heard nprove performance AND ngagement.		rs from other departments		
LIST	pe	eedback leads to better erformance, easier processes nd more equality at LIST.				

"Learning and Development"

Action ID Card						
Action Name Learning & Developme		ent	Owner	D&I project team	ı	
Problem / C	onte	xt	Action Description			Output / Deliverables
With the development and implementation of the Gender Equality Plan and the D&I Action Plan, existing processes and opportunities at LIST need to be analysed for their compliance with the D&I mission, vision and values.			 Learning and Development processes, especially training opportunities, will be analysed for their coherence with the D&I mission and, if necessary, adjustments will be made. Learning and development needs at LIST will be analysed and supplemented with D&I training options (unconscious bias, intercultural communication,). 		 L&D processes will be in line with D&I mission. Training opportunities will be offered in the field of D&I. 	
Benefits by	Stak	eholder Group				
Employees	• L	Receive additional opportunities for D&I training and intercultural communication skills. Learn tools and methods to mprove cooperation and avoid nisunderstandings/conflict.	Implementation Timeline			Status Online training plan for all LIST
Management	• F	Receive tools to manage diverse teams. Receive additional opportunities for D&I training and intercultural communication skills.	explanation May 2021 onwards: [~ ~	ed to training options	staff developed and implemented D&I Management training in preparation Onboarding training: In preparation
LIST	(Higher performance AND commitment in LIST due to better cooperation.	■ Team	pment + D&I project team		
State & Society		More persons trained in D&I opics.				

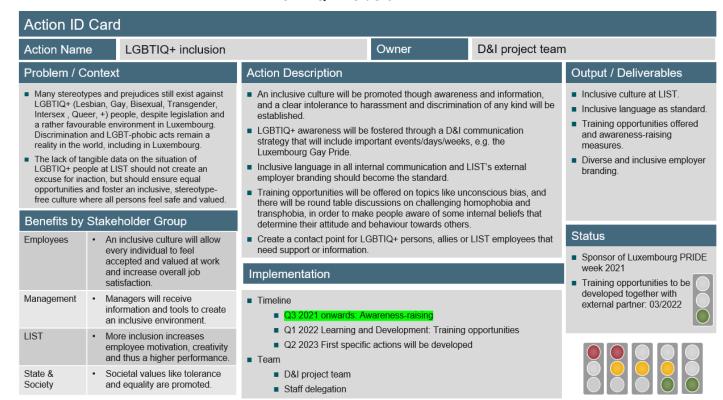
"Talent Acquisition"



"Work Opportunities for Persons with Special Needs"

Action ID Card					
Action Name	e Offer work opportunities for needs	or persons with special Owner D&I project team		m	
Problem / C	ontext	Action Description			Output / Deliverables
national quota needs in a pu analyse the w adjustments i	Intribute as much as possible to the a of 5% of persons with special ublic organization, LIST needs to vorkspace conditions, make if necessary and adapt its branding IT as an equal opportunity employer.	 An analysis of the workspaces at LIST will enable the identification of positions that are suitable for persons with special needs. Adjustments to specific locations, offices or workspaces will be made, if necessary. LIST's job advertisements, as well as the external website, will be changed to include information on LIST's commitment to becoming known as an equal opportunity employer (also part of employer branding). Job advertisements and the external website will be adapted to be accessible for persons with special needs. 			 LIST's workspaces are analysed for their adaptability for persons with special needs. Positions that would be suitable for persons with special needs have been identified. LIST communicates its commitment to be an equal opportunity employer. External communication is accessible for persons with
Benefits by	Stakeholder Group	Implementation			special needs. Status
Employees	Opportunity to receive workspace adaptation to special needs.	■ Timeline ■ Q1 2022: Equal Oppo ■ 2022: Analysis of wor	ortunity Branding kspace suitability and ide	ntification of positions	 Equal opportunities branding: Start in 03/2022 Inspection of LIST buildings to
Management	Be able to welcome and integrate persons with special needs.	TeamINFRA, D&I project toInternal working grou			detect existing barriers Guidelines written Analysis carried out, fields of
LIST	Progress towards compliance with national quota. Equal opportunity employer branding.	- internal working grou			action defined Action timeline to be developed
State & Society	More employment opportunities for persons with special needs.				

"LGBTIQ+ Inclusion"



"D&I Communication Plan"

Action ID	Action ID Card						
Action Name D&I communication p		n plan	owner D&I		D&I project team	I project team	
Problem / Co	ontext	Action Desc	ription			Output / Deliverables	
acceptance of incorporating their employee strategy. • A D&I commun results for visit raising.	n demonstrate awareness and fa wide range of differences by the differences and similarities of as into their communication nication plan can lead to quick bility and a first step for awarene	the importance mployees we individuals an This commun (D&I section of section, direction). The intranet section, and eve	 A communication plan is being developed with the aim of communicating the importance of diversity to LIST, raising awareness and supplying employees with information, and to create recognition for different individuals and groups. This communication plan involves external and internal communications. (D&I section on external website, external social media content, intranet section, direct communications, webinars, etc.) The intranet section will include a D&I calendar that will highlight important days and events. The D&I communication strategy will become part of the overall LIST employer branding. 		 D&I communication plan developed and implemented. D&I intranet section in place. D&I section on external LIST website. D&I social media presence. D&I calendar visible on intranet D&I section. D&I is part of employer branding. 		
Employees	 More awareness of the differ events, progress of the D&I p Underrepresented groups an themselves/important days a valued. 	roject, etc. I persons will see		ntation nuary – March 2021: deve mmunication plan	elopment of D&I	Status Intra- and extranet pages online Awareness raining: Ongoing	
Management	 Management will have inform to address specific needs in able to foster more inclusion, awareness. 	heir teams and are	co Int	nuary 2021 – onwards: fir mmunications (Webinar – ernational Day of Women ernational Women's Day)	unconscious bias, in Science,	 Update of D&I communication plan 2022: Done External cooperation on awareness raising/actions with 	
LIST	 D&I employer branding. Higher performance AND colipob satisfaction and feelings staff. 		■ Q4	l 2021 onwards. D&l intra l 2021 onwards. D&l cont ebsite		members of the FNR Gender working group	
State & Society	 Societal values like acceptan promoted and D&I awarenes 		■ D8	Al project team			

6. OTHER RELATED DOCUMENTS (OPTIONAL)

Document	Title
DOC-00187	Diversity & Inclusion charter
DOC00672	Inclusive language at LIST (English)